



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>Applicants:</b>	Kunio Hori, et al.	<b>Examiner:</b>	Unassigned
<b>Serial No.:</b>	09/995,100	<b>Art Unit:</b>	2877
<b>Filed:</b>	November 27, 2001	<b>Docket:</b>	15111
<b>For:</b>	METHOD FOR DETERMING POLYMORPHISM	<b>Dated:</b>	April 1, 2002

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Assistant Commissioner for Patents  
Washington, DC 20231

**Response to Notice to Comply under 37 C.F.R. §1.821**

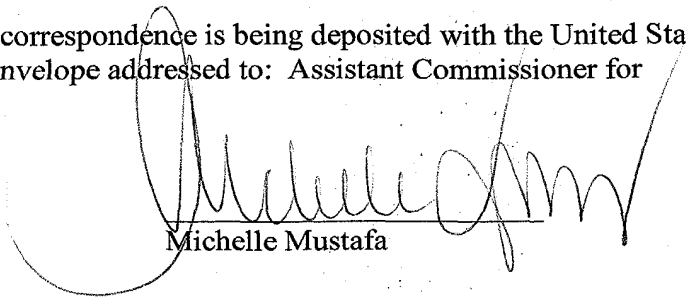
Sir:

In response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures dated January 31, 2002 and in accordance with the provisions in 37 C.F.R. §1.821, Applicants submit herewith an initial paper and a initial computer readable copy of the Sequence Listing, along with a Statement Under 37 C.F.R. §1.821(f), stating that these copies are identical. A copy of the Notice to Comply is also enclosed.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Dated: April 1, 2002

  
Michelle Mustafa

Applicants respectfully submit that the content of the paper and computer copies  
of the sequence listing do not introduce new matter.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read 'P. I. Bernstein', with a long horizontal flourish extending to the right.

Peter I. Bernstein

Registration No. 43,497

Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

PIB:dg

**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
**15111**

In Re Application Of: **Kunio Hori, et al.**

APR 04 2002

Serial No.  
**09/995,100**

Filing Date  
**November 27, 2001**

Examiner  
**Unassigned**

Group Art Unit  
**2877**

Title:

**METHOD FOR DETERMINING POLYMORPHISM**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Transmitted herewith is:


**Response to Notice of Comply under 37 C.F.R. 1.821**  
**Copy of Notice to Comply**  
**Statement under 37 C.F.R. 1.821(f)**  
**Initial Computer Readable Copy of Sequence Listing**  
**Copy of Sequence Listing**

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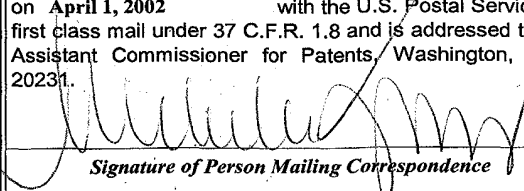
in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Dated: **4/1/02 (Holiday)**

  
Signature  
**Peter I. Bernstein**  
**Registration No. 43,497**  
**SCULLY, SCOTT, MURPHY & PRESSER**  
**400 Garden City Plaza**  
**Garden City, NY 11530**  
**(516) 742-4343**

I certify that this document and fee is being deposited on **April 1, 2002** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

**Michelle Mustafa**

Typed or Printed Name of Person Mailing Correspondence

PIB:dg  
CC:

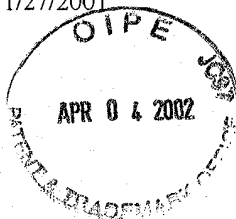


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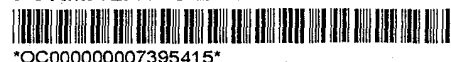
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/995,100	11/27/2001	Kunio Hori	15111

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Garden City, NY 11530-0299



CONFIRMATION NO. 3176

FORMALITIES LETTER



\*OC000000007395415\*

Date Mailed: 01/31/2002

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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A copy of this notice **MUST** be returned with the reply.

*M. Manual*